



Rating form completed by
Jack Wegleitner, Jay Yin

Text in green is to be part of UC Santa Cruz building database and may be part of UCOP database

DATE: June 30, 2019



6/28/19

UC Santa Cruz building seismic ratings
Long Marine Lab Younger Research Building, UCSC

CAAN #7437

103 McAllister Way Santa Cruz CA 95060

UCSC Campus: Coastal Science Campus



Rating summary	Entry	Notes
UC Seismic Performance Level (rating)	V (Poor)	
Rating basis	Tier 1	ASCE 41-17 ¹
Date of rating	2019	
Recommended UC Santa Cruz priority category for retrofit	Category B	Priority A=Retrofit ASAP Priority B=Retrofit at next permit application
Ballpark total construction cost to retrofit to IV rating ²	Medium (~\$50/sf-\$200/sf)	See recommendations on further evaluation and retrofit.
Is 2018-2019 rating required by UCOP?	Yes	Building was not previously rated.
Further evaluation recommended?	Yes	Remove finishes to inspect roof diaphragm to CMU wall connection

¹ We translate this Tier 1 evaluation to a Seismic Performance Level rating using professional judgment. Noncompliant items in the Tier 1 evaluation do not automatically put a building into a particular rating category, but we evaluate such items along with the combination of building features and potential deficiencies, focused on the potential for collapse or serious damage to the gravity supporting structure that may threaten occupant life-safety.

² Per Section 3.A.4.i of the Seismic Program Guidebook, the cost includes all construction cost necessitated by the seismic retrofit, including restoration of finishes and any triggered work on utilities or accessibility. It does not include soft costs such as design fees or campus costs. The cost is in 2019 dollars.

Building information used in this evaluation

Architectural drawings by Esherick Homsey Dodge and Davis Architects and Planners; and Rutherford and Chekene Consulting Engineers, "Coastal Marine Laboratory," as-built dated 19 Aug 1976 , Sheets A1-A5.

University of California building database information, Long Marine Lab Younger Research Building, provided by Jose Sanchez (UCSC) on 2018-11-20.

Additional building information known to exist

None

Scope for completing this form

Reviewed structural drawings and performed a site visit to confirm as-builts. Evaluated nonstructural life-safety hazards during site visit. Completed an ASCE41-17 Tier 1 evaluation.

Brief description of structure

The Younger Research Building is part of the Long Marine Laboratory on UCSC's Coastal Sciences Campus. The building was designed in 1976 by Esherick Homsey Dodge and Davis Architects and Planners; and Rutherford and Chekene Consulting Engineers. Construction was completed in 1978.

The building is a single story with a gable roof. Exterior CMU walls and interior wood partition walls support the roof. The CMU walls extend to the ridge of the gabled roof on either end of the building.

The building is divided into multiple lab and storage spaces. There is a trench that runs under the west side of the building to allow any spilled water from the wet labs to drain. In the wet lab, there are removable roof joists that allow for customization of equipment hanging and storage in the space. These joists rest on CMU corbels against the exterior wall and on a wood ledger where they meet an interior partition. On the south end of the building there is an attic storage space over an office that is accessible by interior stairs.

Identification of levels: Ground level with an attic storage space on the south end of the building (Ground Floor).

Foundation system: The site is level. The superstructure is supported by grade beams below the exterior CMU walls and a slab on grade. The slab is thickened underneath the interior gravity framing walls as well.

Structural system for vertical (gravity) load: The gravity system consists of exterior CMU walls and interior wood frame partition walls. The walls support a wood framed gable roof and equipment hangers above the lab space.

Structural system for lateral forces: The TJI framed roof is sheathed with plywood. It is anchored to the exterior walls using a framing clip attached to a sloped wood plate that is nailed to a treated 2x6 which is anchor bolted to the 8" CMU wall. The CMU wall is fully grouted and reinforced each way with #4s at 24" on center. Dowels that match the wall reinforcement connect the exterior walls to the foundation.

Brief description of seismic deficiencies and expected seismic performance including mechanism of nonlinear response and structural behavior modes

The connections between the wood roof diaphragm and the CMU walls have a lower strength than required to resist the required in-plane and out-of-plane shear demands. The nails used to connect the diaphragm to the wood wall topper do not provide enough strength to transfer the forces to the perimeter walls.

The walls are expected to behave well based on the quick checks.

Structural deficiency	Affects rating?	Structural deficiency	Affects rating?
Lateral system stress check (wall shear, column shear or flexure, or brace axial as applicable)	N	Openings at shear walls (concrete or masonry)	N
Load path	Y	Liquefaction	N
Adjacent buildings	N	Slope failure	N
Weak story	N	Surface fault rupture	N
Soft story	N	Masonry or concrete wall anchorage at flexible diaphragm	Y
Geometry (vertical irregularities)	N	URM wall height-to-thickness ratio	N
Torsion	N	URM parapets or cornices	N
Mass – vertical irregularity	N	URM chimney	N
Cripple walls	N	Heavy partitions braced by ceilings	N
Wood sills (bolting)	N	Appendages	N
Diaphragm continuity	N		

Summary of review of non-structural life-safety concerns, including at exit routes.³

Overhead lab storage and equipment hanging

Unrestrained items stored overhead in Lab space could become dislodged during an earthquake. Injury of lab occupants or blocking of exit routes is possible. Future investigation should look at how these items are anchored when stored.

UCOP non-structural checklist item	Life safety hazard?	UCOP non-structural checklist item	Life safety hazard?
Heavy ceilings, feature or ornamentation above large lecture halls, auditoriums, lobbies or other areas where large numbers of people congregate	N	Unrestrained hazardous materials storage	N
Heavy masonry or stone veneer above exit ways and public access areas	N	Masonry chimneys	N
Unbraced masonry parapets, cornices or other ornamentation above exit ways and public access areas	N	Unrestrained natural gas-fueled equipment such as water heaters, boilers, emergency generators, etc.	N

Discussion of rating

The following noncompliances in the Tier 1 checklist form the basis of rating:

1. Inadequate in-plane and out-of-plane anchorage of the perimeter CMU walls to the plywood roof diaphragm.
2. The stiffness of the wall anchors between the masonry walls and structural wood elements is unknown

This building was given a V rating with a priority B due to the deficiencies in the connection of the diaphragm to the lateral load system.

³ For these Tier 1 evaluations, we do not visit all spaces of the building; we rely on campus staff to report to us their understanding of if and where non-structural hazards may occur.

Recommendations for further evaluation or retrofit

Prior to proceeding with more advanced analysis for retrofit, it is recommended to expose the diaphragm to CMU wall connection to verify if it matches as built.

Retrofitting the existing diaphragm connection by adding a direct connection between the roof and the wall.

Peer review of rating

This seismic evaluation was discussed in a peer review meeting on 17 June 2019. Reviewers present were Bret Lizundia of R+C and Joe Maffei of Maffei Structural Engineers. Comments from the reviewers have been incorporated into this report. The reviewers agreed with the assigned rating.

Additional building data	Entry	Notes
Latitude	36.94933	
Longitude	-122.0656	
Are there other structures besides this one under the same CAAN#	No	
Number of stories above lowest perimeter grade	1	
Number of stories (basements) below lowest perimeter grade	0	
Building occupiable area (OGSF)	2979 sq ft	
Risk Category per 2016 CBC Table 1604.5	II	
Building structural height, h_n	16.66	Structural height defined per ASCE 7-16 Section 11.2
Coefficient for period, C_t	0.02	Estimated using ASCE 41-17 equation 4-4 and 7-18
Coefficient for period, β	0.75	Estimated using ASCE 41-17 equation 4-4 and 7-18
Estimated fundamental period	0.167 sec	Estimated using ASCE 41-17 equation 4-4 and 7-18
Site data		
975 yr hazard parameters S_s, S_1	1.26, 0.47	
Site class	D	
Site class basis	Geotech ⁴	See footnote below
Site parameters F_a, F_v	1.2, 1.829	
Ground motion parameters S_{ds}, S_{d1}	1.52, 0.86	
S_a at building period	1.513	
Site V_{s30}	900 ft/s	
V_{s30} basis	Estimated	Estimated based on site classification of D.

⁴ Determination of site class and assessment of geotechnical hazards are based on correspondence with Pacific Crest Geotechnical Engineers and Nolan, Zinn, and Associates Geologists. [Revised Geology and Geologic Hazards, Santa Cruz Campus, University of California, Job # 04003-SC 13 May 2005]. Site class is taken as D throughout the main campus of UC Santa Cruz. The following links provide hazard maps for liquefaction, landslide, and fault rupture:

<https://gis.santacruzcounty.us/mapgallery/Emergency%20Management/Hazard%20Mitigation/LiquifactionMap2009.pdf>

<https://gis.santacruzcounty.us/mapgallery/Emergency%20Management/Hazard%20Mitigation/LandslideMap2009.pdf>

<https://gis.santacruzcounty.us/mapgallery/Emergency%20Management/Hazard%20Mitigation/FaultZoneMap2009.pdf>

Liquefaction potential	Low	
Liquefaction assessment basis	County Map	See footnote 4
Landslide potential	Low	
Landslide assessment basis	County Map	See footnote 4
Active fault-rupture hazard identified at site?	No	
Fault rupture assessment basis	County Map	See footnote 4
Site-specific ground motion study?	No	
Applicable code		
Applicable code or approx. date of original construction	Built: 1978 Code: 1973 UBC	
Applicable code for partial retrofit	None	No partial retrofit
Applicable code for full retrofit	None	No full retrofit
Model building data		
Model building type North-South	Reinforced Masonry, RM1 - Reinforced Masonry Bearing Walls with Flexible Diaphragms	
Model building type East-West	Reinforced Masonry, RM1 - Reinforced Masonry Bearing Walls with Flexible Diaphragms	
FEMA P-154 score	no	Not included here because we performed ASCE 41 Tier 1 evaluation.
Previous ratings		
Most recent rating		
Date of most recent rating		
2 nd most recent rating	-	
Date of 2 nd most recent rating	-	
3 rd most recent rating	-	
Date of 3 rd most recent rating	-	
Appendices		
ASCE 41 Tier 1 checklist included here?	Yes	Refer to attached checklist file in Appendix A.



University of California, Santa Cruz
ASCE 41-17 Tier 1 Seismic Evaluation
7437 - Long Marine Lab Younger Research Building

Appendix A
ASCE 41-17 Checklists

UC Campus:	Santa Cruz			Date:	6/6/19		
Building CAAN:	7437	Auxiliary CAAN:	-	By Firm:	Degenkolb Engineers		
Building Name:	Long Marine Lab Younger Research Building			Initials:	JSW	Checked:	
Building Address:	103 McAllister Way Santa Cruz CA 95060			Page:	1	of	3

ASCE 41-17 Collapse Prevention Basic Configuration Checklist

LOW SEISMICITY

BUILDING SYSTEMS - GENERAL

	Description
C NC N/A U <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	LOAD PATH: The structure contains a complete, well-defined load path, including structural elements and connections, that serves to transfer the inertial forces associated with the mass of all elements of the building to the foundation. (Commentary: Sec. A.2.1.1. Tier 2: Sec. 5.4.1.1) Comments:
C NC N/A U <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	ADJACENT BUILDINGS: The clear distance between the building being evaluated and any adjacent building is greater than 0.25% of the height of the shorter building in low seismicity, 0.5% in moderate seismicity, and 1.5% in high seismicity. (Commentary: Sec. A.2.1.2. Tier 2: Sec. 5.4.1.2) Comments:
C NC N/A U <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	MEZZANINES: Interior mezzanine levels are braced independently from the main structure or are anchored to the seismic-force-resisting elements of the main structure. (Commentary: Sec. A.2.1.3. Tier 2: Sec. 5.4.1.3) Comments:

BUILDING SYSTEMS - BUILDING CONFIGURATION

	Description
C NC N/A U <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	WEAK STORY: The sum of the shear strengths of the seismic-force-resisting system in any story in each direction is not less than 80% of the strength in the adjacent story above. (Commentary: Sec. A.2.2.2. Tier 2: Sec. 5.4.2.1) Comments:
C NC N/A U <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	SOFT STORY: The stiffness of the seismic-force-resisting system in any story is not less than 70% of the seismic-force-resisting system stiffness in an adjacent story above or less than 80% of the average seismic-force-resisting system stiffness of the three stories above. (Commentary: Sec. A.2.2.3. Tier 2: Sec. 5.4.2.2) Comments:
C NC N/A U <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	VERTICAL IRREGULARITIES: All vertical elements in the seismic-force-resisting system are continuous to the foundation. (Commentary: Sec. A.2.2.4. Tier 2: Sec. 5.4.2.3) Comments:

Note: C = Compliant NC = Noncompliant N/A = Not Applicable U = Unknown

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C NC N/A U <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<p>GEOMETRY: There are no changes in the net horizontal dimension of the seismic-force-resisting system of more than 30% in a story relative to adjacent stories, excluding one-story penthouses and mezzanines. (Commentary: Sec. A.2.2.5. Tier 2: Sec. 5.4.2.4)</p> <p>Comments:</p>
C NC N/A U <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<p>MASS: There is no change in effective mass of more than 50% from one story to the next. Light roofs, penthouses, and mezzanines need not be considered. (Commentary: Sec. A.2.2.6. Tier 2: Sec. 5.4.2.5)</p> <p>Comments:</p>
C NC N/A U <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<p>TORSION: The estimated distance between the story center of mass and the story center of rigidity is less than 20% of the building width in either plan dimension. (Commentary: Sec. A.2.2.7. Tier 2: Sec. 5.4.2.6)</p> <p>Comments: Symmetric building.</p>

MODERATE SEISMICITY (COMPLETE THE FOLLOWING ITEMS IN ADDITION TO THE ITEMS FOR LOW SEISMICITY)

GEOLOGIC SITE HAZARD

	Description
C NC N/A U <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<p>LIQUEFACTION: Liquefaction-susceptible, saturated, loose granular soils that could jeopardize the building's seismic performance do not exist in the foundation soils at depths within 50 ft (15.2m) under the building. (Commentary: Sec. A.6.1.1. Tier 2: 5.4.3.1)</p> <p>Comments:</p>
C NC N/A U <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<p>SLOPE FAILURE: The building site is located away from potential earthquake-induced slope failures or rockfalls so that it is unaffected by such failures or is capable of accommodating any predicted movements without failure. (Commentary: Sec. A.6.1.2. Tier 2: 5.4.3.1)</p> <p>Comments:</p>
C NC N/A U <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<p>SURFACE FAULT RUPTURE: Surface fault rupture and surface displacement at the building site are not anticipated. (Commentary: Sec. A.6.1.3. Tier 2: 5.4.3.1)</p> <p>Comments:</p>

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ASCE 41-17 Collapse Prevention Basic Configuration Checklist

HIGH SEISMICITY (COMPLETE THE FOLLOWING ITEMS IN ADDITION TO THE ITEMS FOR MODERATE SEISMICITY)

FOUNDATION CONFIGURATION

	Description
C NC N/A U <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<p>OVERTURNING: The ratio of the least horizontal dimension of the seismic-force-resisting system at the foundation level to the building height (base/height) is greater than $0.6S_a$. (Commentary: Sec. A.6.2.1. Tier 2: Sec. 5.4.3.3)</p> <p>Comments: $50/17=2.94$ $0.6*1.513=0.9078$</p>
C NC N/A U <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<p>TIES BETWEEN FOUNDATION ELEMENTS: The foundation has ties adequate to resist seismic forces where footings, piles, and piers are not restrained by beams, slabs, or soils classified as Site Class A, B, or C. (Commentary: Sec. A.6.2.2. Tier 2: Sec. 5.4.3.4)</p> <p>Comments: Site class D and slab on grade with grade beams.</p>

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ASCE 41-17

Collapse Prevention Structural Checklist For Building Type RM1-RM2

LOW AND MODERATE SEISMICITY

SEISMIC-FORCE-RESISTING SYSTEM

	Description
C NC N/A U <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	REDUNDANCY: The number of lines of shear walls in each principal direction is greater than or equal to 2. (Commentary: Sec. A.3.2.1.1. Tier 2: Sec. 5.5.1.1) Comments: 2 exterior shear walls in each direction
C NC N/A U <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	SHEAR STRESS CHECK: The shear stress in the reinforced masonry shear walls, calculated using the Quick Check procedure of Section 4.4.3.3, is less than 70 lb/in. ² (0.48 MPa). (Commentary: Sec. A.3.2.4.1. Tier 2: Sec. 5.5.3.1.1) Comments:
C NC N/A U <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	REINFORCING STEEL: The total vertical and horizontal reinforcing steel ratio in reinforced masonry walls is greater than 0.002 of the wall with the minimum of 0.0007 in either of the two directions; the spacing of reinforcing steel is less than 48 in. (1220 mm), and all vertical bars extend to the top of the walls. (Commentary: Sec. A.3.2.4.2. Tier 2: Sec. 5.5.3.1.3) Comments:

STIFF DIAPHRAGMS

	Description
C NC N/A U <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	TOPPING SLAB: Precast concrete diaphragm elements are interconnected by a continuous reinforced concrete topping slab. (Commentary: Sec. A.4.5.1. Tier 2: Sec. 5.6.4) Comments: Wood roof acts as diaphragm

CONNECTIONS

	Description
C NC N/A U <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	WALL ANCHORAGE: Exterior concrete or masonry walls that are dependent on the diaphragm for lateral support are anchored for out-of-plane forces at each diaphragm level with steel anchors, reinforcing dowels, or straps that are developed into the diaphragm. Connections have strength to resist the connection force calculated in the Quick Check procedure of Section 4.4.3.7. (Commentary: Sec. A.5.1.1. Tier 2: Sec. 5.7.1.1) Comments: 16d wire nails at 12" o.c. are the critical element of the diaphragm to wall connection. They do not have the strength to resist the force calculated in the Quick Check procedure.

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ASCE 41-17 Collapse Prevention Structural Checklist For Building Type RM1-RM2

C NC N/A U <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	<p>WOOD LEDGERS: The connection between the wall panels and the diaphragm does not induce cross-grain bending or tension in the wood ledgers. (Commentary: Sec. A.5.1.2. Tier 2: Sec. 5.7.1.3)</p> <p>Comments: Diaphragm connected to top of walls</p>
C NC N/A U <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<p>TRANSFER TO SHEAR WALLS: Diaphragms are connected for transfer of seismic forces to the shear walls. (Commentary: Sec. A.5.2.1. Tier 2: Sec. 5.7.2)</p> <p>Comments: Diaphragms are connected to exterior shear walls. Connection is weak. See "Wall Anchorage"</p>
C NC N/A U <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	<p>TOPPING SLAB TO WALLS OR FRAMES: Reinforced concrete topping slabs that interconnect the precast concrete diaphragm elements are doweled for transfer of forces into the shear wall or frame elements. (Commentary: Sec. A.5.2.3. Tier 2: Sec. 5.7.2)</p> <p>Comments: No topping slab</p>
C NC N/A U <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<p>FOUNDATION DOWELS: Wall reinforcement is doweled into the foundation. (Commentary: Sec. A.5.3.5. Tier 2: Sec. 5.7.3.4)</p> <p>Comments: Dowels match wall verticals per drawings</p>
C NC N/A U <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	<p>GIRDER-COLUMN CONNECTION: There is a positive connection using plates, connection hardware, or straps between the girder and the column support. (Commentary: Sec. A.5.4.1. Tier 2: Sec. 5.7.4.1)</p> <p>Comments: No girder column connections</p>

HIGH SEISMICITY (COMPLETE THE FOLLOWING ITEMS IN ADDITION TO THE ITEMS FOR LOW AND MODERATE SEISMICITY)

STIFF DIAPHRAGMS

	Description
C NC N/A U <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	<p>OPENINGS AT SHEAR WALLS: Diaphragm openings immediately adjacent to the shear walls are less than 25% of the wall length. (Commentary: Sec. A.4.1.4. Tier 2: Sec. 5.6.1.3)</p> <p>Comments: No openings on in roof diaphragm</p>
C NC N/A U <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	<p>OPENINGS AT EXTERIOR MASONRY SHEAR WALLS: Diaphragm openings immediately adjacent to exterior masonry shear walls are not greater than 8 ft (2.4 m) long. (Commentary: Sec. A.4.1.6. Tier 2: Sec. 5.6.1.3)</p> <p>Comments: No openings on in roof diaphragm</p>

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ASCE 41-17 Collapse Prevention Structural Checklist For Building Type RM1-RM2

FLEXIBLE DIAPHRAGMS							
				Description			
C	NC	N/A	U	CROSS TIES: There are continuous cross ties between diaphragm chords. (Commentary: Sec. A.4.1.2. Tier 2: Sec. 5.6.1.2)			
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Comments:			
C	NC	N/A	U	OPENINGS AT SHEAR WALLS: Diaphragm openings immediately adjacent to the shear walls are less than 25% of the wall length. (Commentary: Sec. A.4.1.4. Tier 2: Sec. 5.6.1.3)			
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Comments:			
C	NC	N/A	U	OPENINGS AT EXTERIOR MASONRY SHEAR WALLS: Diaphragm openings immediately adjacent to exterior masonry shear walls are not greater than 8 ft (2.4 m) long. (Commentary: Sec. A.4.1.6. Tier 2: Sec. 5.6.1.3)			
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Comments:			
C	NC	N/A	U	STRAIGHT SHEATHING: All straight-sheathed diaphragms have aspect ratios less than 2-to-1 in the direction being considered. (Commentary: Sec. A.4.2.1. Tier 2: Sec. 5.6.2)			
<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Comments:			
C	NC	N/A	U	SPANS: All wood diaphragms with spans greater than 24 ft (7.3 m) consist of wood structural panels or diagonal sheathing. (Commentary: Sec. A.4.2.2. Tier 2: Sec. 5.6.2)			
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Comments:			
C	NC	N/A	U	DIAGONALLY SHEATHED AND UNBLOCKED DIAPHRAGMS: All diagonally sheathed or unblocked wood structural panel diaphragms have horizontal spans less than 40 ft (12.2 m) and aspect ratios less than or equal to 4-to-1. (Commentary: Sec. A.4.2.3. Tier 2: Sec. 5.6.2)			
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Comments:			
C	NC	N/A	U	OTHER DIAPHRAGMS: Diaphragms do not consist of a system other than wood, metal deck, concrete, or horizontal bracing. (Commentary: Sec. A.4.7.1. Tier 2: Sec. 5.6.5)			
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Comments:			

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**ASCE 41-17
Collapse Prevention Structural Checklist For Building Type RM1-RM2**

CONNECTIONS							
				Description			
C	NC	N/A	U	STIFFNESS OF WALL ANCHORS: Anchors of concrete or masonry walls to wood structural elements are installed taut and are stiff enough to limit the relative movement between the wall and the diaphragm to no greater than 1/8 in. (3 mm) before engagement of the anchors. (Commentary: Sec. A.5.1.4. Tier 2: Sec. 5.7.1.2)			
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Comments:			



University of California, Santa Cruz
ASCE 41-17 Tier 1 Seismic Evaluation
7437 - Long Marine Lab Younger Research Building

Appendix B
Quick Check Calculations



Subject: Global Data	Job Number: B9956006.00	Date: 06/27/19
Job: UCSC Tier 1 Seismic Evaluations CAAN 7437	By: JSW	Section:
	Checked By:	Page

GLOBAL DATA

ASCE 41-17 SEISMIC EVALUATION & RETROFIT OF EXISTING BUILDINGS
 CHAPTER 4 - TIER 1 EVALUATION
 LINEAR STATIC PROCEDURE
 COLLAPSE PREVENTION
 BSE-2E HAZARD LEVEL

SITE DATA:

Latitude:	36.949 °N	8635 Kennel Way	USGS Seismic Design Map Application:
Longitude:	122.066 °W	La Jolla, CA 94166	http://geohazards.usgs.gov/hazardtool/application.php
Site Class:	D (default)	(Stiff Soil)	Site Class [ASCE 41-17, §2.4.1.6]
S _s =	1.261 g	(USGS) (5% / 50 years)	USGS Mapped (T = 0.2 sec) [ASCE 41-17, §2.4.1.3]
S ₁ =	0.471 g	(USGS) (5% / 50 years)	USGS Mapped (T = 1.0 sec) [ASCE 41-17, §2.4.1.3]
F _a =	1.200	(Site Class D)	Site Coefficient (T = 0.2 sec) [ASCE 7-16, Table 11.4-1]
F _v =	1.829	(Site Class D)	Site Coefficient (T = 1.0 sec) [ASCE 7-16, Table 11.4-2]
S _{XS} =	1.513 g	= F _a S _s	Site-Adjusted Design (T = 0.2 sec) [ASCE 41-17, Eq. 2-1]
S _{X1} =	0.861 g	= F _v S ₁	Site-Adjusted Design (T = 1.0 sec) [ASCE 41-17, Eq. 2-2]

BUILDING DATA:

Building Type:	RM1	(Reinforced Masonry Bearing Walls with Flexible Diaphragms)	[ASCE 41-17, Table 3-1]
Year Built:	1973		
Number of Stories:	1 story		
Parapet Height:	0.00 ft		
Roof Height:	17.00 ft		
Total Area:	2,979 sf		

Level	Height [ft]	Elevation [ft]	Length _{N-S} [ft]	Length _{E-W} [ft]	Area [sf]	Diaphragm Stiffness	Diaphragm Description
Roof	17.0	17.0	75	51	3,825	Flexible	Concrete Topping w/ hollow clay planks
1st	0.0	0.0	75	51	3,825	-	-



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WEIGHT TAKEOFF

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ROOF TYPE:		ROOF			
		Roofing / Re-roofing	@	5.0 psf	0.0 psf
0.5 in		Rock Ballast (Gravel)	@	8.0 psf per inch	0.0 psf
3 ply		Ready Roofing	@	0.3 psf per ply	0.0 psf
5 ply		Felt Roofing	@	0.5 psf per ply	2.5 psf
0.25 in		Slate	@	40.0 psf per inch	0.0 psf
		Shingles (Asphalt)	@	2.0 psf	2.0 psf
		Copper or Tin	@	1.0 psf	0.0 psf
		Corrugated Asbestos-Cement	@	4.0 psf	0.0 psf
		Waterproofing Membranes (Smooth Bituminous)	@	1.5 psf	0.0 psf
		Cement Tiles	@	16.0 psf	0.0 psf
		Clay Tiles (Spanish)	@	19.0 psf	0.0 psf
		Mortar Bed for Clay Tiles	@	10.0 psf	0.0 psf
		Roof Insulation	@	1.0 psf	1.0 psf
1 in		Insulation (Loose)	@	0.5 psf per inch	0.5 psf
1 in		Insulation Boards (Fibrous Glass)	@	1.1 psf per inch	0.0 psf
3 in		Vermiculite Concrete	@	2.5 psf per inch	0.0 psf
0.5 in		Fire Proofing	@	2.0 psf per inch	0.0 psf
		Diaphragm - core planks	@	35.0 psf	0.0 psf
2.5 in		Concrete Slab (Normal Weight)	@	12.5 psf per inch	0.0 psf
4.75 in		Concrete Fill (Light Weight)	@	9.2 psf per inch	0.0 psf
0.5 in		Concrete Overpour (Light Weight)	@	9.2 psf per inch	0.0 psf
18 ga		Bare Metal Deck	@	3.0 psf	0.0 psf
2 in		Wood Decking	@	2.5 psf per inch	0.0 psf
2 in		Wood Sheathing	@	3.0 psf per inch	0.0 psf
0.5 in		Plywood	@	3.2 psf per inch	1.6 psf
		Framing	@	20.0 psf	0.0 psf
6 ft O.C.		Steel Beams	@	22.0 plf	0.0 psf
36 ft O.C.		Steel Girders	@	76.0 plf	0.0 psf
2 ft O.C.		Wood Sub-Purlins	@	1.8 plf	0.0 psf
8 ft O.C.		Wood Purlins	@	3.0 plf	0.4 psf
20 ft O.C.		Wood Girders	@	5.0 plf	0.0 psf
12.75 ft O.C.		Concrete Beams	@	800.0 plf	0.0 psf
20 ft O.C.		Concrete Girders	@	300.0 plf	0.0 psf
8.50 ft trib. ht.		Typical Columns (A _{trib} = 459 sf)	@	600.0 plf	0.0 psf
		Ceiling	@	5.0 psf	5.0 psf
0.5 in		Gypsum Board Ceiling	@	4.4 psf per inch	0.0 psf
		Acoustical Fiber Board	@	1.0 psf	0.0 psf
		Plaster Ceiling (On Tile)	@	5.0 psf	0.0 psf
		Suspended Metal Lath & Plaster (Gypsum Plaster)	@	10.0 psf	0.0 psf
		Suspended Steel Channel System	@	2.0 psf	0.0 psf
		Suspended Wood Furring System	@	2.5 psf	0.0 psf
		T-bar Ceiling System	@	3.0 psf	0.0 psf
100% floor area		Interior Partitions (Below)	@	5.0 psf	5.0 psf
		M.E.P.	@	5.0 psf	5.0 psf
		Miscellaneous	@	1.0 psf	1.0 psf
		Percast Fascia (4sqft)	@	47.1 psf	0.0 psf
		Suspended Equipment	@	5.0 psf	5.0 psf
		Other	@	1.0 psf	0.0 psf
		Other	@	1.0 psf	0.0 psf
		Other	@	1.0 psf	0.0 psf

ROOF WEIGHT = 29.0 psf



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WEIGHT TAKEOFF

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WALL TYPE: **WALL-R**

	Wall Covering	@	4.0 psf	4.0 psf
1 in	Exterior Stucco	@	11.4 psf per inch.	0.0 psf
1 in	Wood Sheathing	@	3.0 psf per inch	0.0 psf
0.5 in	Gypsum Sheathing	@	4.0 psf per inch	0.0 psf
0.5 in	Gypsum Wallboard	@	4.4 psf per inch	0.0 psf
	Porcelain Enamel Panels	@	5.0 psf	0.0 psf
	Metal Lath & Plaster (Gypsum Plaster)	@	10.0 psf	0.0 psf
	Wall Insulation	@	1.0 psf	1.0 psf
1 in	Insulation (Rigid)	@	1.5 psf per inch	0.0 psf
1 in	Insulation Boards (Fiber Board)	@	1.5 psf per inch	0.0 psf
0.5 in	Fire Proofing	@	2 psf per inch	0.0 psf
	Wall Framing	@	20.0 psf	0.0 psf
8 in	Concrete Wall (Normal Weight)	@	12.5 psf per inch	0.0 psf
8 in	CMU Wall w/ Full Grouting (Normal Weight)	@	83.0 psf	83.0 psf
8 in	Solid CMU Wall (Normal Weight)	@	87.0 psf	0.0 psf
4 in	HCB Wall w/ Full Grouting	@	38.0 psf	0.0 psf
3.5 in	Solid Clay Brick Wall	@	11.1 psf per inch	0.0 psf
0.5 in	Plywood	@	3.2 psf per inch	0.0 psf
16 in O.C.	Wood Studs (2 x 4)	@	1.1 plf	0.0 psf
16 in O.C.	Metal Channel Studs	@	2.0 plf	0.0 psf
8 ft O.C.	Steel Girts	@	6.0 plf	0.0 psf
	Miscellaneous	@	1.0 psf	1.0 psf
	Other	@	1.0 psf	0.0 psf
	Other	@	1.0 psf	0.0 psf
	Other	@	1.0 psf	0.0 psf
	Other	@	1.0 psf	0.0 psf
	Other	@	1.0 psf	0.0 psf

Solid Wall Weight = 89.0 psf
 Window & Door Weight = 8.0 psf
 % Solid Wall = 80%
WALL-R WEIGHT = 72.8 psf



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SEISMIC MASS

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ROOF/FLOOR WEIGHT SUMMARY:

Level Type	Weight [psf]
ROOF	29

WALL WEIGHT SUMMARY:

Wall Type	Weight [psf]		
	Net	Solid	Openings
WALL-P	89.0	89	8
WALL-R	72.8	89	8

SEISMIC MASS SUMMARY:

Level	FLOOR			WALL ABOVE				WALL BELOW				TOTAL WEIGHT [kips]
	Level Type	Weight [psf]	Area [sf]	Wall Type	Weight [psf]	Length [ft]	Height [ft]	Wall Type	Weight [psf]	Length [ft]	Height [ft]	
Roof	ROOF	29	3,825	WALL-P	89.0	0	0.00	WALL-R	72.8	0	8.50	111
											TOTAL	111



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SEISMIC FORCES

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BUILDING TYPE: RM1 (Reinforced Masonry Bearing Walls with Flexible Diaphragms) [ASCE 41-17, Table 3-1]
SITE CLASS: D (default) #N/A [ASCE 41-17, §2.4.1.6]

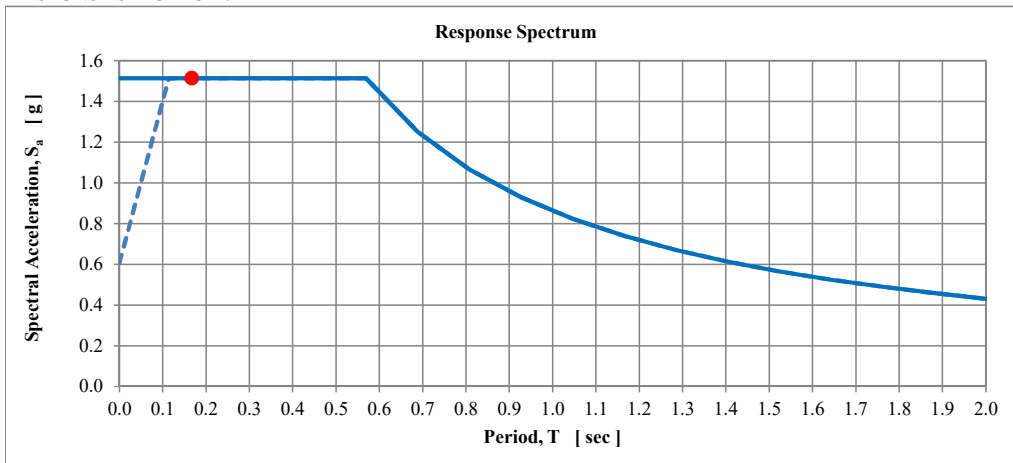
DESIGN SPECTRAL ACCELERATIONS:

S_{XS} = 1.513 g (BSE-2E) Site-Adjusted Design (T = 0.2 sec) [ASCE 41-17, Eq. 2-1]
 S_{X1} = 0.861 g (BSE-2E) Site-Adjusted Design (T = 1.0 sec) [ASCE 41-17, Eq. 2-2]

BUILDING PERIOD:

h_n = 17.0 ft (Base to Roof) Building Height [ASCE 41-17, §4.4.2.4]
 C_t = 0.020 (Building Type RM1) Period Coefficient [ASCE 41-17, §4.4.2.4]
 β = 0.750 (Building Type RM1) Period Exponent [ASCE 41-17, §4.4.2.4]
 T = 0.167 sec = $C_t h_n^\beta$ Fundamental Period [ASCE 41-17, Eq. 4-4]

RESPONSE SPECTRUM:



PSEUDO LATERAL FORCE:

n = 1 (n = 1) Total Number of Stories
 C = 1.0 (Building Type RM1) Modification Factor [ASCE 41-17, Table 4-7]
 S_a = 1.513 g = MIN { S_{X1} / T , S_{XS} } Spectral Acceleration [ASCE 41-17, Eq. 4-3]
 V = **1.513 W** = $C S_a W$ Pseudo Lateral Force [ASCE 41-17, Eq. 4-1]

VERTICAL DISTRIBUTION OF SEISMIC FORCES:

k = 1.00 ($T \leq 0.5$ sec) Seismic Distribution Exponent [ASCE 41-17, §4.4.2.2]

Level	h_x [ft]	w_x [kips]	$w_x h_x^k$	C_{vx}	F_x [kips]	V_j [kips]
Roof	17.0	111	1,886	1.00	168	168
TOTAL	-	111	1,886	1.00	168	-

$F_x = C_{vx} V = [w_x h_x^k / \sum (w_x h_x^k)] V$ [ASCE 41-17, Eq. 4-2a]
 $V_j = \sum F_x$ [ASCE 41-17, Eq. 4-2b]



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QUICK CHECKS

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BUILDING TYPE: RM1 (Reinforced Masonry Bearing Walls with Flexible Diaphragms) [ASCE 41-17, Table 3-1]
MASONRY TYPE: CMU (Concrete Masonry Units)

STEEL REINFORCING RATIO CHECK: [ASCE 41-17, §A.3.2.4.2]

Wall Type	s_{grout} [in]	t_w [in]	Horizontal Reinforcing				Vertical Reinforcing				Total Reinforcing	
			Bar Size No.	Spacing [in]	ρ_h	$\rho_h \geq 0.0007$	Bar Size No.	Spacing [in]	ρ_v	$\rho_v \geq 0.0007$	ρ_{tot}	$\rho_{tot} \geq 0.002$
WALL-R	8	7.625	4	24	0.0011	OK	4	24	0.0011	OK	0.0022	OK

AVERAGE SHEAR STRESS CHECK:

v_n = 70 psi (RM Shear Wall) Shear Wall Capacity [ASCE 41-17, §A.3.2.4.1]
 M_s = 4.5 COLLAPSE PREVENTION System Modification Factor [ASCE 41-17, Table 4-8]
 $v_{j, avg}$ = $(1 / M_s) (V_j / A_w)$ Average Shear Wall Stress [ASCE 41-17, Eq. 4-8]
 A_w = $t_w (L_{w, total} - L_{w, openings}) (A_{partial\ grout} / A_{full\ grout})$ Net Wall Area (Reduced for Partial Grouting) [ASCE 41-17, §4.4.3.3]

North-South Direction:

Level	V_j [kips]	Wall Type	s_{grout} [in]	$\frac{A_{partial\ grout}}{A_{full\ grout}}$	t_w [in]	$L_{w, total}$ [ft]	$L_{w, openings}$ [ft]	L_w [ft]	A_w [in ²]	$v_{j, avg}$ [psi]	DCR	Quick Check
Roof	168	WALL-R	8	1.00	7.625	150	38	112	10,248	4	0.05	OK

East-West Direction:

Level	V_j [kips]	Wall Type	s_{grout} [in]	$\frac{A_{partial\ grout}}{A_{full\ grout}}$	t_w [in]	$L_{w, total}$ [ft]	$L_{w, openings}$ [ft]	L_w [ft]	A_w [in ²]	$v_{j, avg}$ [psi]	DCR	Quick Check
Roof	168	WALL-R	8	1.00	7.625	102	42	60	5,490	7	0.10	OK



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QUICK CHECKS

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BUILDING TYPE: RM1 (Reinforced Masonry Bearing Walls with Flexible Diaphragms) [ASCE 41-17, Table 3-1]
MASONRY TYPE: CMU (Concrete Masonry Units)

WALL HEIGHT-TO-THICKNESS RATIO CHECK: [ASCE 41-17, §A.3.2.4.4]

Wall Type	h _w [ft]	t _w [in]	h _w / t _w	MAX h _w / t _w	Quick Check
WALL-R	17.00	7.625	26.8	30	OK

OUT-OF-PLANE WALL ANCHORAGE CHECK: [ASCE 41-17, §A.5.1.1]

Ψ = 1.0 COLLAPSE PREVENTION Out-of-Plane Wall Anchorage Coefficient [ASCE 41-17, §4.4.3.7]
 S_{XS} = 1.513 g (BSE-2E) Design Spectral Acceleration (T = 0.2 sec) [ASCE 41-17, §2.4.1.1]
 T_c = Ψ S_{XS} w_p A_p Out-of-Plane Wall Anchorage Force [ASCE 41-17, Eq. 4-12]
 w_p A_p = (W_{w, above} h_{w, above} + W_{w, below} h_{w, below}) S_{anchor} Tributary Mass to Anchorage [ASCE 41-17, §4.4.3.7]

North-South Direction:

Level	WALL ABOVE			WALL BELOW			OUT-OF-PLANE ANCHORAGE					
	Wall Type	Weight [psf]	Height [ft]	Wall Type	Weight [psf]	Height [ft]	S _{anchor} [ft]	w _p A _p [lb]	T _c [lb]	T _{cn} [lb]	DCR	Quick Check
Roof	WALL-P	89	0.00	WALL-R	89	8.50	1.00	757	1,145	97	11.80	NO GOOD

East-West Direction:

Level	WALL ABOVE			WALL BELOW			OUT-OF-PLANE ANCHORAGE					
	Wall Type	Weight [psf]	Height [ft]	Wall Type	Weight [psf]	Height [ft]	S _{anchor} [ft]	w _p A _p [lb]	T _c [lb]	T _{cn} [lb]	DCR	Quick Check
Roof	WALL-P	89	0.00	WALL-R	89	8.50	1.00	757	1,145	97	11.80	NO GOOD



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7437 - Long Marine Lab Younger Research Building

Appendix C
Pictures and Details



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Western Interior View



Northern Interior View



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Interior Wall and Removable Joist



Exterior View and Removable Joist



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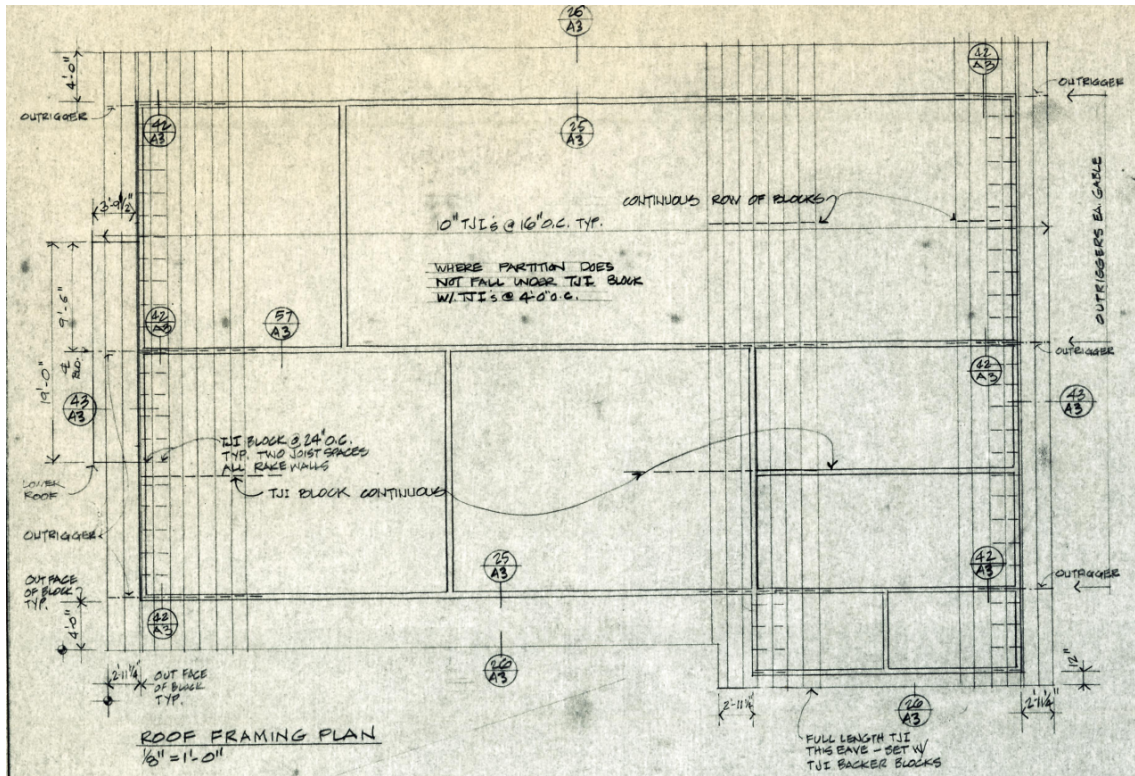
Southern Attic View



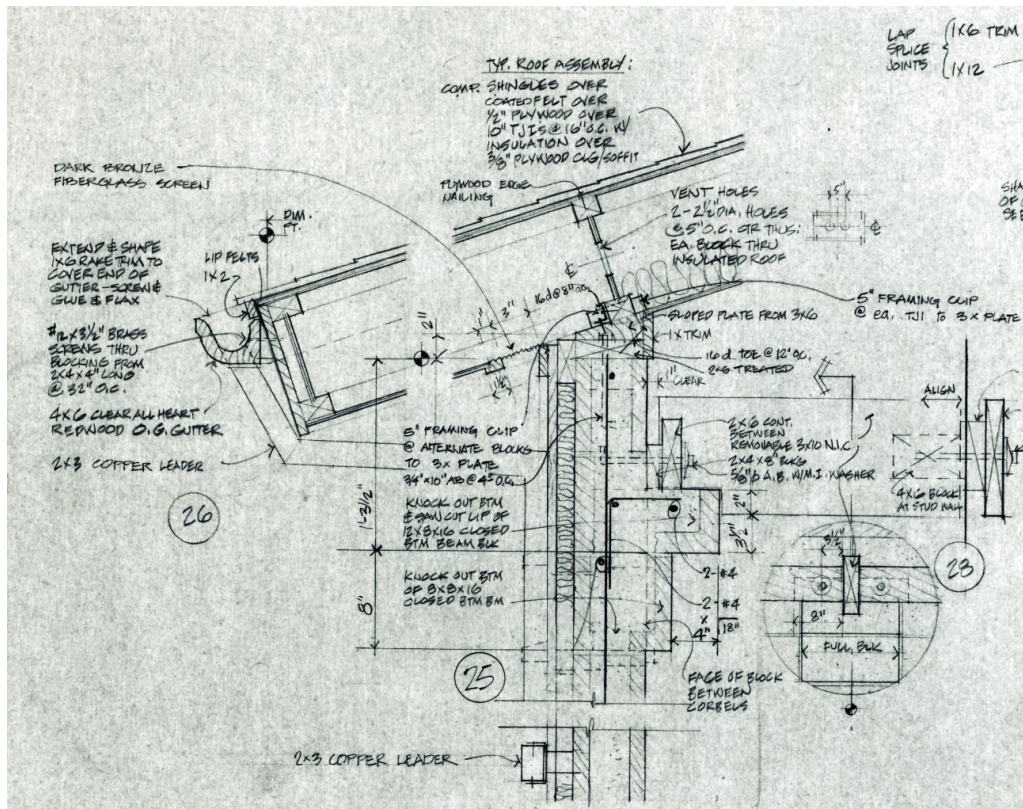
Equipment Storage Falling Hazards



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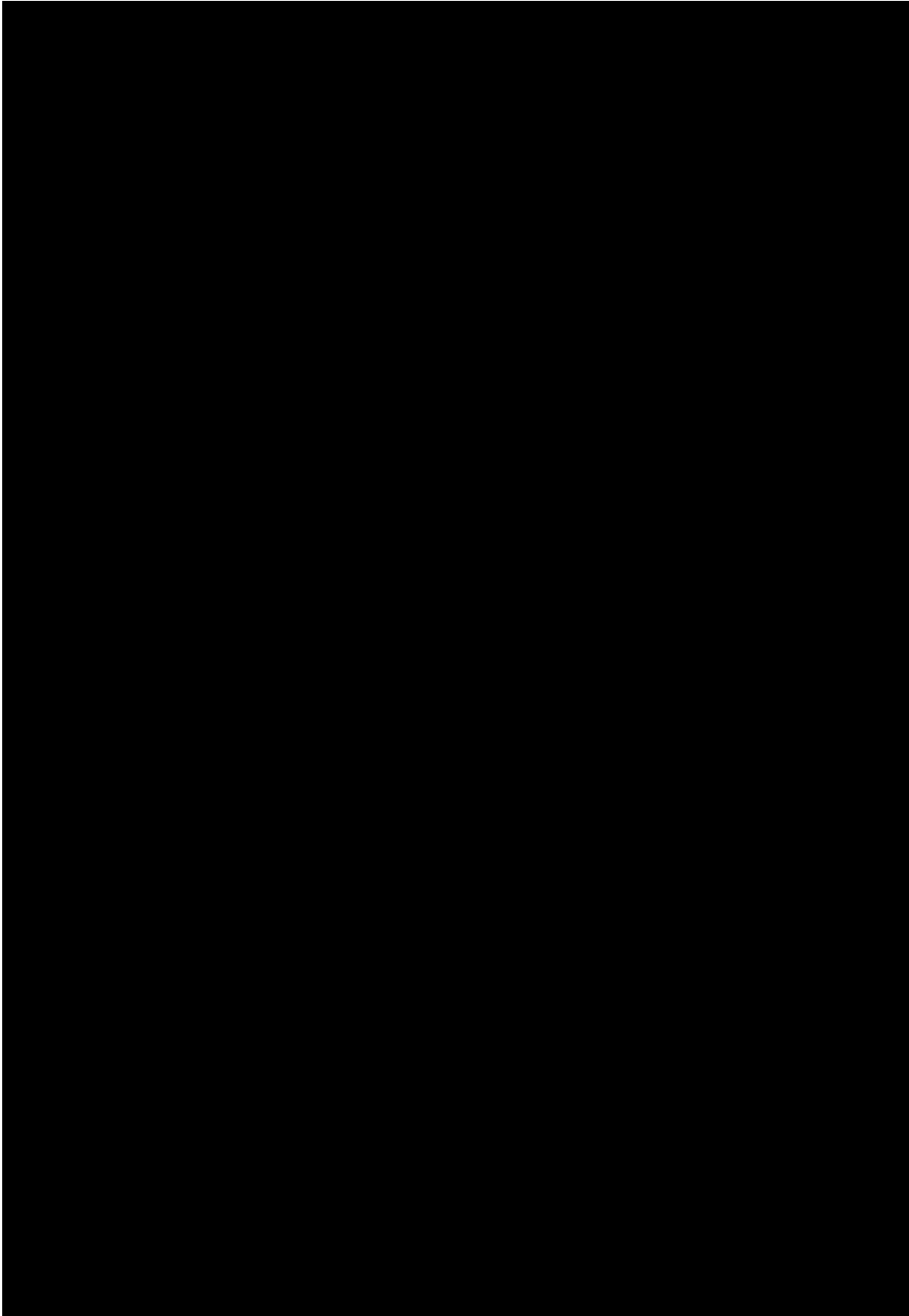
Roof Plan (A1)



Roof Diaphragm to Wall Detail (A3)



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Floor Plan (A1)