



Rating form completed by:

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Text in green is to be part of UC Santa Cruz building database and may be part of UCOP database

UC Santa Cruz building seismic ratings
Theater Arts J Offices Building

CAAN #7320
 445 Kerr Road, Santa Cruz, CA 95064
 UCSC Campus: Main Campus



DATE: 2019-06-30



| Rating summary | Entry | Notes |
|--|------------------------|--|
| UC Seismic Performance Level (rating) | V (Poor) | |
| Rating basis | Tier 1 | ASCE 41-17 ¹ |
| Date of rating | 2019 | |
| Recommended UC Santa Cruz priority category for retrofit | Priority B | Priority A=Retrofit ASAP Priority B=Retrofit at next permit application |
| Ballpark total construction cost to retrofit to IV rating ² | Medium (\$50-\$200/sf) | See recommendations on further evaluation and retrofit. |
| Is 2018-2019 rating required by UCOP? | Yes | Building was not previously rated |
| Further evaluation recommended? | Yes | Tier 2 - Focused on high shear demand at walls and lack of hold-downs |

¹ We translate this Tier 1 evaluation to a Seismic Performance Level rating using professional judgment. Non-compliant items in the Tier 1 evaluation do not automatically put a building into a particular rating category, but we evaluate such items along with the combination of building features and potential deficiencies, focused on the potential for collapse or serious damage to the gravity supporting structure that may threaten occupant safety. See Section III B of the UC Seismic Policy and Method B of Section 321 of the 2016 California Existing Building Code.

² Per Section 3.A.4.i of the Seismic Program Guidebook, the cost includes all construction cost necessitated by the seismic retrofit, including restoration of finishes and any triggered work on utilities or accessibility. It does not include soft costs such as design fees or campus costs. The cost is in 2019 dollars.

Building information used in this evaluation

- Architectural drawings by Ralph Rapson and Associates Inc., "Performing Arts Building, University of California Santa Cruz," as-built dated 30 June 1969
- Structural drawings by Pregnoff and Matheu, "Performing Arts Building, University of California Santa Cruz," as-built dated 30 June 1969
- University of California Facilities Link building database information, "7320" provided by José Sanchez (UCSC) on 2019-05-30

Additional building information known to exist

- None

Scope for completing this form

We reviewed the structural drawings for the original construction and carried out a site visit to verify that the existing drawings matched the existing structure to the best of our knowledge. An ASCE 41-17 Tier 1 evaluation was completed. We did not perform an ASCE 41 Tier 1 nonstructural evaluation, but we looked for potentially hazardous nonstructural components during our site visit.

Brief description of structure

Theater Arts B Drama is one of a cluster of eleven buildings that forms the Theater Arts complex. The complex was designed in 1969 by the architectural office of Ralph Rapson and Associates and the structural office of Pregnoff and Matheu.

The building is 2 story structure that contains approximately 9400 square feet. The building is rectangular in plan, with a building footprint of approximately 45' x 100' at Level 1. The site slopes downhill such that the finished grade on the north side of the building is one story higher than at the south side of the building, and a portion of Level 1 is below grade. The south exterior wall has large window openings at both Level 1 and Level 2. The structure measures 35' in height from the top of concrete at Level 1 to the highest point of the sloped roof.

Identification of levels: Level 1 (elevation 680.0'), Level 2 (elevation 692.0'), Roof (715.0' at highest point)

Foundation system: The superstructure is founded on shallow strip footings located around the building perimeter and under the interior wood bearing wall. The site is moderately slopes downhill from north to south. To accommodate the slope of the finished grade outside the building, a concrete foundation wall is provided at the perimeter walls to retain soil and support the perimeter walls. The Level 1 floor is slab-on-grade. The north side of Level 1 is below grade.

Structural system for vertical (gravity) load: The Level 2 floor and roof are framed with wood joists bearing on wood bearing walls. At Level 2, glulam beams cantilever past the exterior wall to support a balcony on the south side of the building.

Structural system for lateral forces: Plywood sheathed floor and roof diaphragms transfer lateral inertial forces from floors (and roof) to plywood sheathed wood walls. In the transverse direction, the north-south walls are regularly spaced at 20' o.c. In the longitudinal direction, there are just 2 lines of wall with one line at the north side of the building and one line at the centerline of the building, and no solid wall at the south side of the building.

Brief description of seismic deficiencies and expected seismic performance including mechanism of nonlinear response and structural behavior modes

Identified seismic deficiencies of the building include the following:

- There appears to be no vertical connection of the walls through the floors, and no hold-downs at the base of the walls. This is especially a concern at the wall on gridline 7.
- The building is torsionally irregular in plan, with a long, mostly solid wall provided at the north side of the building, and big window openings provided at the south side of the building. Although torsional irregularity itself does not impact the rating since the building has flexible diaphragms, the configuration of the walls may result in errors in the Tier 1 quick check for shear demand, since the assumption that all walls share the lateral

demand equally may not be valid. We did a spot check using flexible diaphragm assumptions, and found that the wall on gridline 7 has a DCR of $1.5 > 1.0$.

| Structural deficiency | Affects rating? | Structural deficiency | Affects rating? |
|---|-----------------|--|-----------------|
| Lateral system stress check (wall shear, column shear or flexure, or brace axial as applicable) | Y | Openings at shear walls (concrete or masonry) | N |
| Load path | N | Liquefaction | N |
| Adjacent buildings | N | Slope failure | N |
| Weak story | N | Surface fault rupture | N |
| Soft story | N | Masonry or concrete wall anchorage at flexible diaphragm | N |
| Geometry (vertical irregularities) | N | URM wall height-to-thickness ratio | N |
| Torsion | Y | URM parapets or cornices | N |
| Mass – vertical irregularity | N | URM chimney | N |
| Cripple walls | N | Heavy partitions braced by ceilings | N |
| Wood sills (bolting) | N | Appendages | N |
| Diaphragm continuity | N | | |

Summary of review of non-structural life-safety concerns, including at exit routes.³

We walked through all floors of the building. We did not perform the Tier 1 nonstructural evaluation, but we looked for potentially hazardous nonstructural components during our site visit on 13 June 2019. As shown in the table below, no non-structural hazards were observed.

| UCOP non-structural checklist item | Life safety hazard? | UCOP non-structural checklist item | Life safety hazard? |
|--|---------------------|--|---------------------|
| Heavy ceilings, feature or ornamentation above large lecture halls, auditoriums, lobbies or other areas where large numbers of people congregate | None observed | Unrestrained hazardous materials storage | None observed |
| Heavy masonry or stone veneer above exit ways and public access areas | None observed | Masonry chimneys | None observed |
| Unbraced masonry parapets, cornices or other ornamentation above exit ways and public access areas | None observed | Unrestrained natural gas-fueled equipment such as water heaters, boilers, emergency generators, etc. | None observed |

Discussion of rating

The rating of V (Poor) is due to the high shear demand on the center east-west wall at the gridline 7, which has no tie-downs.

Recommendations for further evaluation or retrofit

We recommend that the Campus perform a more detailed review of the adequacy of the plywood sheathed walls for both shear capacity and hold-down connections. A Tier 2 evaluation may allow the rating to be raised to IV (Fair).

Peer review of rating

The key issues and expected seismic performance of this building are essentially the same as that for buildings 7408 and 7414. The peer review of those buildings, carried out 24 June 2019, applies to this building; reviewers present were Bret Lizundia of R+C and Jay Yin of Degenkolb.

³ For these Tier 1 evaluations, we do not visit all spaces of the building; we rely on campus staff to report to us their understanding of the type and location of potential non-structural hazards.

| Additional building data | Entry | Notes |
|--|--------------|--|
| Latitude | 36.994412 | |
| Longitude | -122.062031 | |
| Are there other structures besides this one under the same CAAN# | No | |
| Number of stories above lowest perimeter grade | 2 | |
| Number of stories (basements) below lowest perimeter grade | 0 | |
| Building occupiable area (OGSF) | 9423 sq. ft. | |
| Risk Category per 2016 CBC Table 1604.5 | II | Educational occupancy (classroom) |
| Building structural height, h_n | 30 ft | Structural height defined per ASCE 7-16 Section 11.2 |
| Coefficient for period, C_t | 0.020 | Estimated using ASCE 41-17 equation 4-4 and 7-18 |
| Coefficient for period, β | 0.75 | Estimated using ASCE 41-17 equation 4-4 and 7-18 |
| Estimated fundamental period | 0.26 sec | Estimated using ASCE 41-17 equation 4-4 and 7-18 |
| Site data | | |
| 975 yr hazard parameters S_s, S_1 | 1.286, 0.488 | |
| Site class | D | |
| Site class basis ⁴ | Geotech | See footnote below |
| Site parameters F_a, F_v ⁵ | 1, 1.81 | |
| Ground motion parameters S_{cs}, S_{c1} | 1.286, 0.885 | |
| S_a at building period | 1.28 | |
| Site V_{s30} | 900 ft/s | |
| V_{s30} basis | Estimated | Estimated based on site classification of D |
| Liquefaction potential | Low | |
| Liquefaction assessment basis | County map | See footnote below |
| Landslide potential | Low | |
| Landslide assessment basis | County map | See footnote below |
| Active fault-rupture identified at site? | No | |
| Fault rupture assessment basis | County map | See footnote below |
| Site-specific ground motion study? | No | |

⁴ Determination of site class and assessment of geotechnical hazards are based on correspondence with Pacific Crest Geotechnical Engineers and Nolan, Zinn, and Associates Geologists. [Revised Geology and Geologic Hazards, Santa Cruz Campus, University of California, Job # 04003-SC 13 May 2005]. Site class is taken as D throughout the main campus of UC Santa Cruz. The following links provide hazard maps for liquefaction, landslide, and fault rupture:

<https://gis.santacruzcounty.us/mappallery/Emergency%20Management/Hazard%20Mitigation/LiquifactionMap2009.pdf>

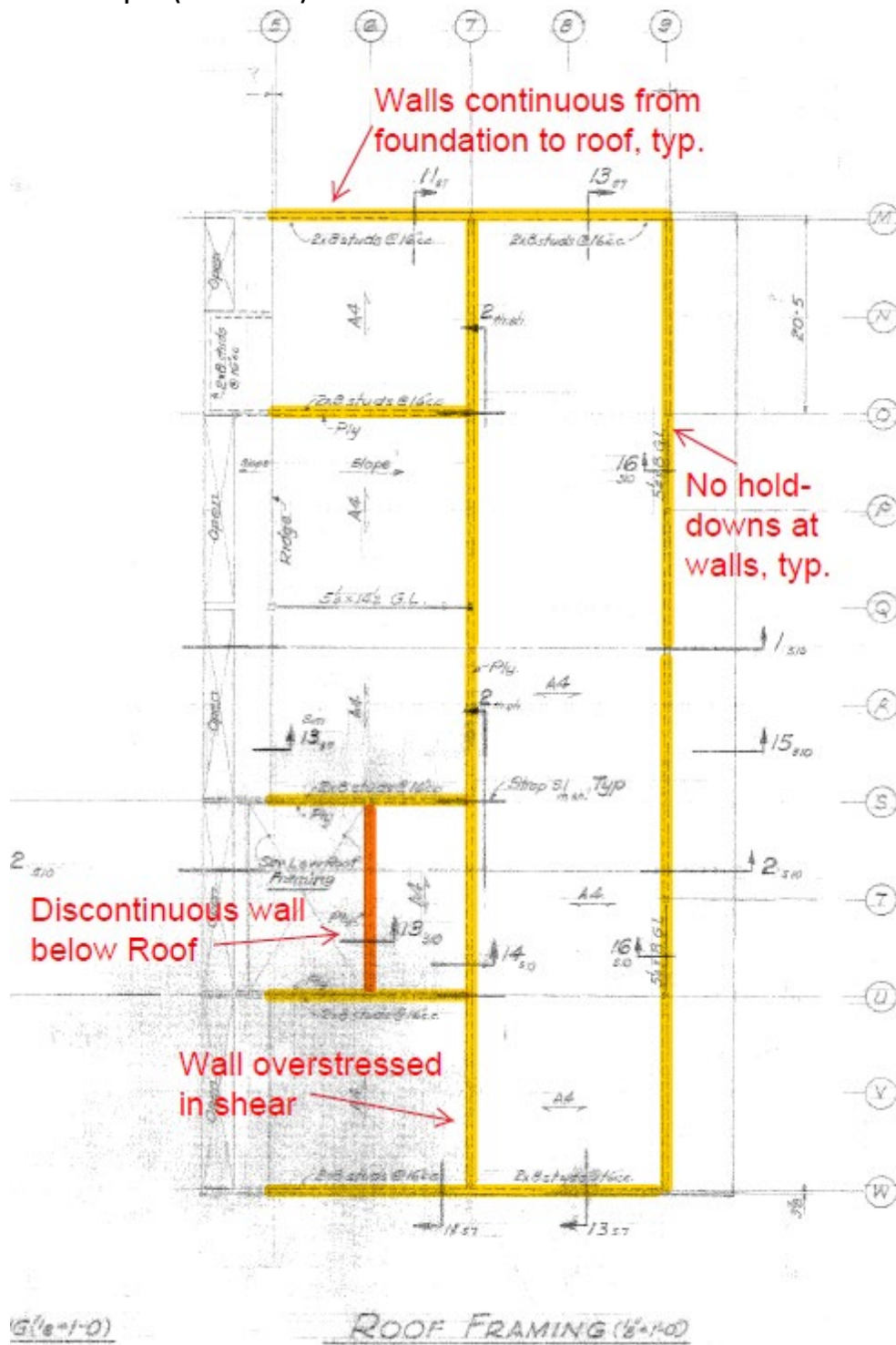
<https://gis.santacruzcounty.us/mappallery/Emergency%20Management/Hazard%20Mitigation/LandslideMap2009.pdf>

<https://gis.santacruzcounty.us/mappallery/Emergency%20Management/Hazard%20Mitigation/FaultZoneMap2009.pdf>

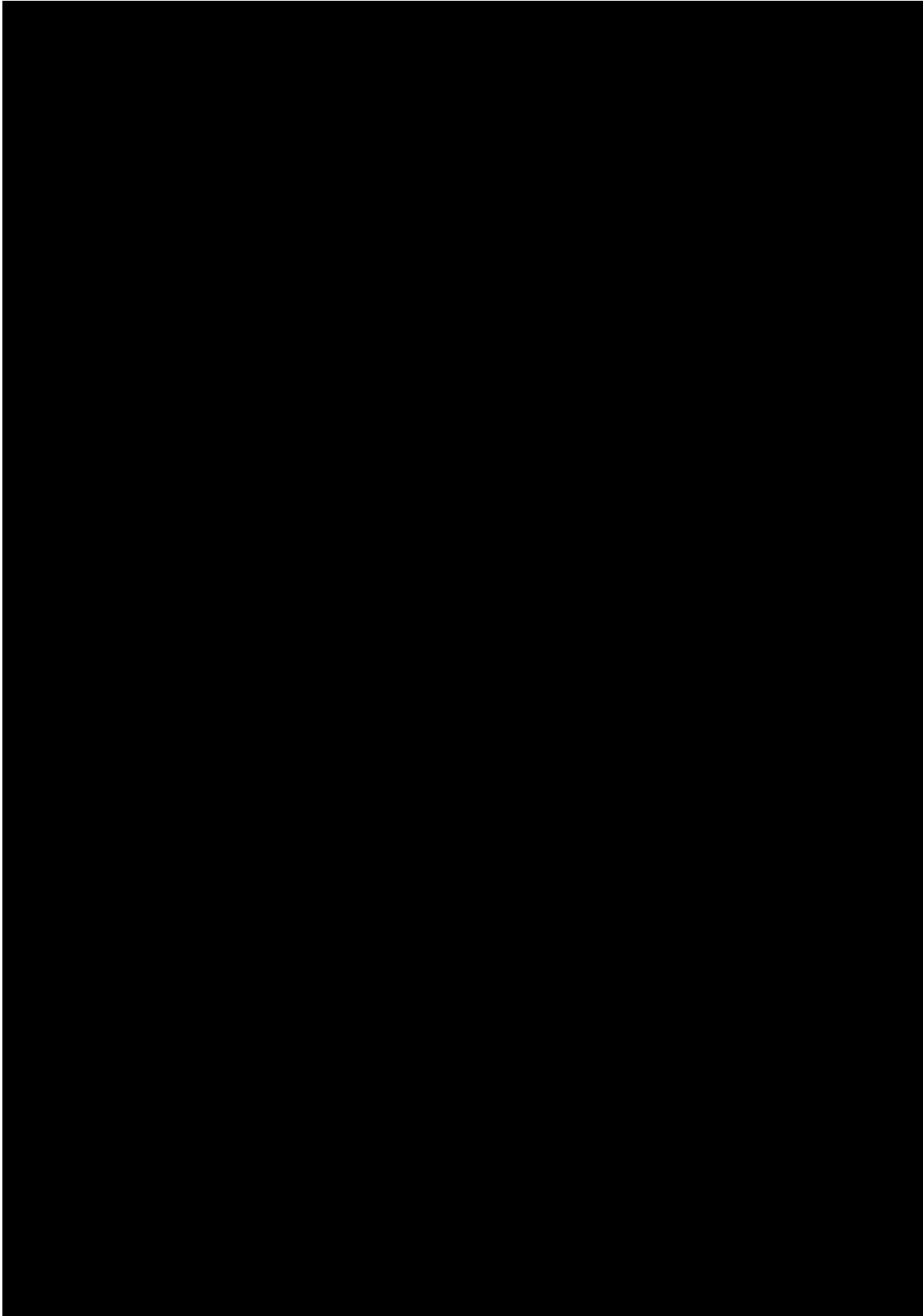
⁵ F_v factor used does not include the requirements of Section 11.4.8-3 of ASCE 7-16, which per Exception 2 would result in an effective F_v factor of 2.72 (1.5 times larger). We are doing this intentionally as we understand that the appropriateness of the requirements of Section 11.4.8 is under review by UCOP.

| Applicable code | | |
|--|----------------------------------|---------------------------------------|
| Applicable code or approx. date of original construction | Designed: 1969 Code: 1967 UBC | Code inferred based on design year |
| Applicable code for partial retrofit | None | None |
| Applicable code for full retrofit | None | None |
| Model building data | | |
| Model building type North-South | W2 – Wood frame | |
| Model building type East-West | W2 – Wood frame | |
| FEMA P-154 score | N/A | Not included here. Tier 1 evaluation. |
| Previous ratings | | |
| Most recent rating | None | |
| Date of most recent rating | - | |
| 2 nd most recent rating | - | |
| Date of 2 nd most recent rating | - | |
| 3 rd most recent rating | - | |
| Date of 3 rd most recent rating | - | |
| Appendices | | |
| ASCE 41 Tier 1 checklist included here? | Yes | Refer to attached checklist file |

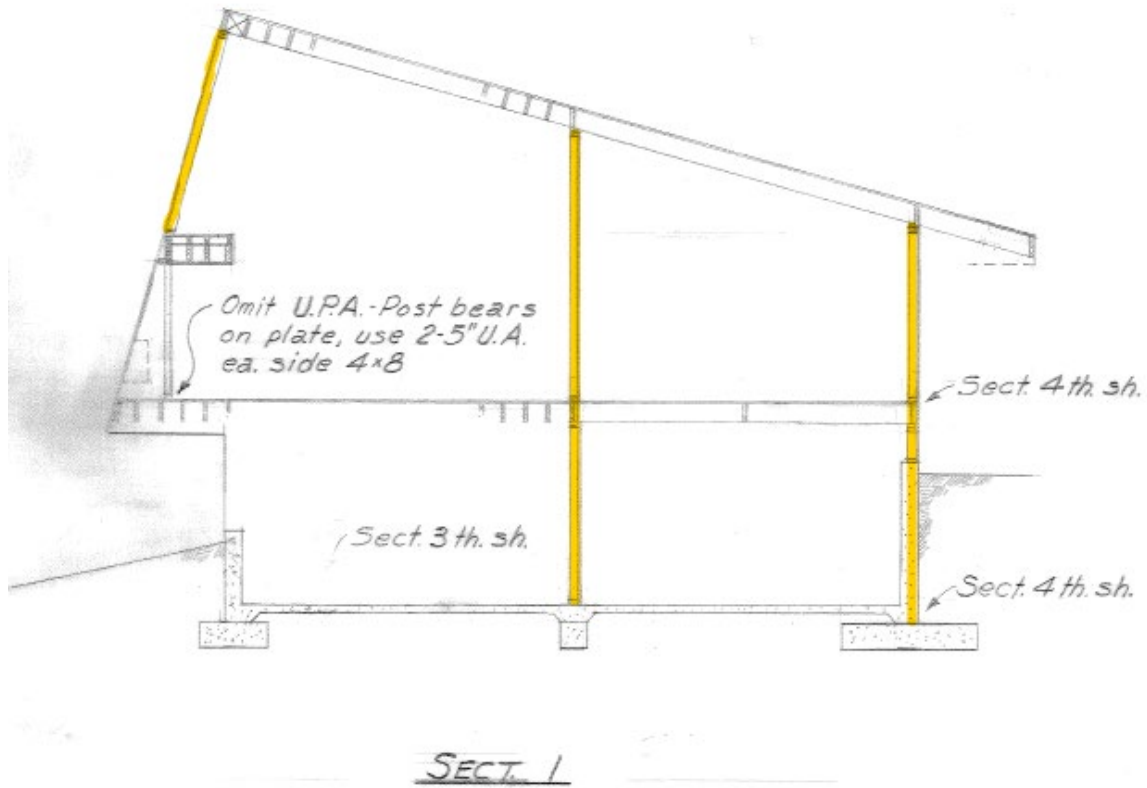
Annotated plan (Roof shown)



Annotated plan (Level 2 shown)



Section cut looking west



North wall, looking west



North elevation



South elevation



| | | | | | | |
|-------------------|-------------------------------------|-----------------|-----------|-------------------------------|----------|---|
| UC Campus: | UC Santa Cruz | | Date: | June 30, 2019 | | |
| Building CAAN: | 7320 | Auxiliary CAAN: | By Firm: | Maffei Structural Engineering | | |
| Building Name: | TA Offices | | Initials: | NY | Checked: | |
| Building Address: | 445 Kerr Road, Santa Cruz, CA 95064 | | Page: | 1 | of | 3 |

ASCE 41-17 Collapse Prevention Basic Configuration Checklist

LOW SEISMICITY

BUILDING SYSTEMS - GENERAL

| | | | | | Description |
|------------------------------------|--------------------------|--------------------------------------|-------------------------|-----------------------|---|
| <input checked="" type="radio"/> C | <input type="radio"/> NC | <input type="radio"/> N/A | <input type="radio"/> U | <input type="radio"/> | LOAD PATH: The structure contains a complete, well-defined load path, including structural elements and connections, that serves to transfer the inertial forces associated with the mass of all elements of the building to the foundation. (Commentary: Sec. A.2.1.1. Tier 2: Sec. 5.4.1.1) Comments: |
| <input checked="" type="radio"/> C | <input type="radio"/> NC | <input type="radio"/> N/A | <input type="radio"/> U | <input type="radio"/> | ADJACENT BUILDINGS: The clear distance between the building being evaluated and any adjacent building is greater than 0.25% of the height of the shorter building in low seismicity, 0.5% in moderate seismicity, and 1.5% in high seismicity. (Commentary: Sec. A.2.1.2. Tier 2: Sec. 5.4.1.2) Comments: |
| <input type="radio"/> C | <input type="radio"/> NC | <input checked="" type="radio"/> N/A | <input type="radio"/> U | <input type="radio"/> | MEZZANINES: Interior mezzanine levels are braced independently from the main structure or are anchored to the seismic-force-resisting elements of the main structure. (Commentary: Sec. A.2.1.3. Tier 2: Sec. 5.4.1.3) Comments: |

BUILDING SYSTEMS - BUILDING CONFIGURATION

| | | | | | Description |
|------------------------------------|-------------------------------------|---------------------------|-------------------------|-----------------------|--|
| <input checked="" type="radio"/> C | <input type="radio"/> NC | <input type="radio"/> N/A | <input type="radio"/> U | <input type="radio"/> | WEAK STORY: The sum of the shear strengths of the seismic-force-resisting system in any story in each direction is not less than 80% of the strength in the adjacent story above. (Commentary: Sec. A.2.2.2. Tier 2: Sec. 5.4.2.1) Comments: |
| <input checked="" type="radio"/> C | <input type="radio"/> NC | <input type="radio"/> N/A | <input type="radio"/> U | <input type="radio"/> | SOFT STORY: The stiffness of the seismic-force-resisting system in any story is not less than 70% of the seismic-force-resisting system stiffness in an adjacent story above or less than 80% of the average seismic-force-resisting system stiffness of the three stories above. (Commentary: Sec. A.2.2.3. Tier 2: Sec. 5.4.2.2) Comments: |
| <input type="radio"/> C | <input checked="" type="radio"/> NC | <input type="radio"/> N/A | <input type="radio"/> U | <input type="radio"/> | VERTICAL IRREGULARITIES: All vertical elements in the seismic-force-resisting system are continuous to the foundation. (Commentary: Sec. A.2.2.4. Tier 2: Sec. 5.4.2.3) Comments: The wall on gridline 6 between gridlines S and U is discontinuous below the roof level. |

Note: C = Compliant NC = Noncompliant N/A = Not Applicable U = Unknown

| | | | | | | |
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|------------------------------------|--------------------------|---------------------------|-------------------------|--|
| <input checked="" type="radio"/> C | <input type="radio"/> NC | <input type="radio"/> N/A | <input type="radio"/> U | <p>GEOMETRY: There are no changes in the net horizontal dimension of the seismic-force-resisting system of more than 30% in a story relative to adjacent stories, excluding one-story penthouses and mezzanines. (Commentary: Sec. A.2.2.5. Tier 2: Sec. 5.4.2.4)</p> <p>Comments:</p> |
| <input checked="" type="radio"/> C | <input type="radio"/> NC | <input type="radio"/> N/A | <input type="radio"/> U | <p>MASS: There is no change in effective mass of more than 50% from one story to the next. Light roofs, penthouses, and mezzanines need not be considered. (Commentary: Sec. A.2.2.6. Tier 2: Sec. 5.4.2.5)</p> <p>Comments:</p> |
| <input checked="" type="radio"/> C | <input type="radio"/> NC | <input type="radio"/> N/A | <input type="radio"/> U | <p>TORSION: The estimated distance between the story center of mass and the story center of rigidity is less than 20% of the building width in either plan dimension. (Commentary: Sec. A.2.2.7. Tier 2: Sec. 5.4.2.6)</p> <p>Comments: At roof, the distance between the center of mass and the center of rigidity is 10ft, which is greater than $.2(40ft) = 8ft$.</p> |

MODERATE SEISMICITY (COMPLETE THE FOLLOWING ITEMS IN ADDITION TO THE ITEMS FOR LOW SEISMICITY)

| GEOLOGIC SITE HAZARD | | | | Description |
|------------------------------------|--------------------------|---------------------------|-------------------------|---|
| <input checked="" type="radio"/> C | <input type="radio"/> NC | <input type="radio"/> N/A | <input type="radio"/> U | <p>LIQUEFACTION: Liquefaction-susceptible, saturated, loose granular soils that could jeopardize the building's seismic performance do not exist in the foundation soils at depths within 50 ft (15.2m) under the building. (Commentary: Sec. A.6.1.1. Tier 2: 5.4.3.1)</p> <p>Comments:</p> |
| <input checked="" type="radio"/> C | <input type="radio"/> NC | <input type="radio"/> N/A | <input type="radio"/> U | <p>SLOPE FAILURE: The building site is located away from potential earthquake-induced slope failures or rockfalls so that it is unaffected by such failures or is capable of accommodating any predicted movements without failure. (Commentary: Sec. A.6.1.2. Tier 2: 5.4.3.1)</p> <p>Comments:</p> |
| <input checked="" type="radio"/> C | <input type="radio"/> NC | <input type="radio"/> N/A | <input type="radio"/> U | <p>SURFACE FAULT RUPTURE: Surface fault rupture and surface displacement at the building site are not anticipated. (Commentary: Sec. A.6.1.3. Tier 2: 5.4.3.1)</p> <p>Comments:</p> |

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**ASCE 41-17
Collapse Prevention Basic Configuration Checklist**

HIGH SEISMICITY (COMPLETE THE FOLLOWING ITEMS IN ADDITION TO THE ITEMS FOR MODERATE SEISMICITY)

FOUNDATION CONFIGURATION

| | Description |
|--|---|
| <p>C NC N/A U</p> <p><input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/></p> | <p>OVERTURNING: The ratio of the least horizontal dimension of the seismic-force-resisting system at the foundation level to the building height (base/height) is greater than $0.6S_a$. (Commentary: Sec. A.6.2.1. Tier 2: Sec. 5.4.3.3)</p> <p>Comments: Worst case wall at north face of building $L/h = 20'/30' = 0.67$ is not greater than $0.6S_a = 0.6(1.28) = 0.768$</p> |
| <p>C NC N/A U</p> <p><input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/></p> | <p>TIES BETWEEN FOUNDATION ELEMENTS: The foundation has ties adequate to resist seismic forces where footings, piles, and piers are not restrained by beams, slabs, or soils classified as Site Class A, B, or C. (Commentary: Sec. A.6.2.2. Tier 2: Sec. 5.4.3.4)</p> <p>Comments:</p> |

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ASCE 41-17 Collapse Prevention Structural Checklist For Building Type W2

LOW AND MODERATE SEISMICITY

SEISMIC-FORCE-RESISTING SYSTEM

| | | | | Description | | | | | | | | |
|----------------------------|-------------|------------|----------|---|----------------------------|-------------|--------------------|-----------|--------------------|-----------|----------------------|-----------|
| C | NC | N/A | U | <p>REDUNDANCY: The number of lines of shear walls in each principal direction is greater than or equal to 2. (Commentary: Sec. A.3.2.1.1. Tier 2: Sec. 5.5.1.1)</p> <p>Comments:</p> | | | | | | | | |
| C | NC | N/A | U | <p>SHEAR STRESS CHECK: The shear stress in the shear walls, calculated using the Quick Check procedure of Section 4.4.3.3, is less than the following values: (Commentary: Sec. A.3.2.7.1. Tier 2: Sec. 5.5.3.1.1)</p> <table border="1" style="margin-left: auto; margin-right: auto;"> <tr> <td>Structural panel sheathing</td> <td>1,000 lb/ft</td> </tr> <tr> <td>Diagonal sheathing</td> <td>700 lb/ft</td> </tr> <tr> <td>Straight sheathing</td> <td>100 lb/ft</td> </tr> <tr> <td>All other conditions</td> <td>100 lb/ft</td> </tr> </table> <p>Comments: Flexible diaphragm analysis shows that center wall at gridline 7 has shear stress of 1477 lb/ft. Capacity assumed as 1000 lb/ft. DCR = 1.5.</p> | Structural panel sheathing | 1,000 lb/ft | Diagonal sheathing | 700 lb/ft | Straight sheathing | 100 lb/ft | All other conditions | 100 lb/ft |
| Structural panel sheathing | 1,000 lb/ft | | | | | | | | | | | |
| Diagonal sheathing | 700 lb/ft | | | | | | | | | | | |
| Straight sheathing | 100 lb/ft | | | | | | | | | | | |
| All other conditions | 100 lb/ft | | | | | | | | | | | |
| C | NC | N/A | U | <p>STUCCO (EXTERIOR PLASTER) SHEAR WALLS: Multi-story buildings do not rely on exterior stucco walls as the primary seismic-force-resisting system. (Commentary: Sec. A.3.2.7.2. Tier 2: Sec. 5.5.3.6.1)</p> <p>Comments:</p> | | | | | | | | |
| C | NC | N/A | U | <p>GYPHUM WALLBOARD OR PLASTER SHEAR WALLS: Interior plaster or gypsum wallboard is not used for shear walls on buildings more than one story high with the exception of the uppermost level of a multi-story building. (Commentary: Sec. A.3.2.7.3. Tier 2: Sec. 5.5.3.6.1)</p> <p>Comments:</p> | | | | | | | | |
| C | NC | N/A | U | <p>NARROW WOOD SHEAR WALLS: Narrow wood shear walls with an aspect ratio greater than 2-to-1 are not used to resist seismic forces. (Commentary: Sec. A.3.2.7.4. Tier 2: Sec. 5.5.3.6.1)</p> <p>Comments:</p> | | | | | | | | |
| C | NC | N/A | U | <p>WALLS CONNECTED THROUGH FLOORS: Shear walls have an interconnection between stories to transfer overturning and shear forces through the floor. (Commentary: Sec. A.3.2.7.5. Tier 2: Sec. 5.5.3.6.2)</p> <p>Comments: No tie downs at any wall, any floor</p> | | | | | | | | |

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ASCE 41-17 Collapse Prevention Structural Checklist For Building Type W2

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|--|------------------------------------|--|-----------------------------------|--|
| C <input checked="" type="radio"/> | NC <input type="radio"/> | N/A <input type="radio"/> | U <input type="radio"/> | <p>HILLSIDE SITE: For structures that are taller on at least one side by more than one-half story because of a sloping site, all shear walls on the downhill slope have an aspect ratio less than 1-to-1. (Commentary: Sec. A.3.2.7.6. Tier 2: Sec. 5.5.3.6.3)</p> <p>Comments: The elevation of grade at the north side is at least half a story higher than that of the south side and the south side wall is almost entirely open.</p> |
| C <input type="radio"/> | NC <input type="radio"/> | N/A <input checked="" type="radio"/> | U <input type="radio"/> | <p>CRIPPLE WALLS: Cripple walls below first-floor-level shear walls are braced to the foundation with wood structural panels. (Commentary: Sec. A.3.2.7.7. Tier 2: Sec. 5.5.3.6.4)</p> <p>Comments:</p> |
| C <input checked="" type="radio"/> | NC <input type="radio"/> | N/A <input type="radio"/> | U <input type="radio"/> | <p>OPENINGS: Walls with openings greater than 80% of the length are braced with wood structural panel shear walls with aspect ratios of not more than 1.5-to-1 or are supported by adjacent construction through positive ties capable of transferring the seismic forces. (Commentary: Sec. A.3.2.7.8. Tier 2: Sec. 5.5.3.6.5)</p> <p>Comments:</p> |

| CONNECTIONS | | | | Description |
|--|------------------------------------|-------------------------------------|-----------------------------------|--|
| C <input checked="" type="radio"/> | NC <input type="radio"/> | N/A <input type="radio"/> | U <input type="radio"/> | <p>WOOD POSTS: There is a positive connection of wood posts to the foundation. (Commentary: Sec. A.5.3.3. Tier 2: Sec. 5.7.3.3)</p> <p>Comments:</p> |
| C <input checked="" type="radio"/> | NC <input type="radio"/> | N/A <input type="radio"/> | U <input type="radio"/> | <p>WOOD SILLS: All wood sills are bolted to the foundation. (Commentary: Sec. A.5.3.4. Tier 2: Sec. 5.7.3.3)</p> <p>Comments:</p> |
| C <input checked="" type="radio"/> | NC <input type="radio"/> | N/A <input type="radio"/> | U <input type="radio"/> | <p>GIRDER/COLUMN CONNECTION: There is a positive connection using plates, connection hardware, or straps between the girder and the column support. (Commentary: Sec. A.5.4.1. Tier 2: Sec. 5.7.4.1)</p> <p>Comments:</p> |

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| | | | | | | |
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| Building CAAN: | 7320 | Auxiliary CAAN: | By Firm: | Maffei Structural Engineering | | |
| Building Name: | TA Offices | | Initials: | NY | Checked: | |
| Building Address: | 445 Kerr Road, Santa Cruz, CA 95064 | | Page: | 3 | of | 4 |

ASCE 41-17 Collapse Prevention Structural Checklist For Building Type W2

HIGH SEISMICITY (COMPLETE THE FOLLOWING ITEMS IN ADDITION TO THE ITEMS FOR LOW AND MODERATE SEISMICITY)

CONNECTIONS

| | | | | | Description |
|----------------------------------|-----------------------|-----------------------|-----------------------|-----------------------|--|
| <input checked="" type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | WOOD SILL BOLTS: Sill bolts are spaced at 6 ft (1.8 m) or less with acceptable edge and end distance provided for wood and concrete. (Commentary: A.5.3.7. Tier 2: Sec. 5.7.3.3) |
| | | | | | Comments: |

DIAPHRAGMS

| | | | | | Description |
|----------------------------------|-----------------------|----------------------------------|-----------------------|-----------------------|--|
| <input checked="" type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | DIAPHRAGM CONTINUITY: The diaphragms are not composed of split-level floors and do not have expansion joints. (Commentary: Sec. A.4.1.1. Tier 2: Sec. 5.6.1.1) |
| | | | | | Comments: |
| <input checked="" type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | ROOF CHORD CONTINUITY: All chord elements are continuous, regardless of changes in roof elevation. (Commentary: Sec. A.4.1.3. Tier 2: Sec. 5.6.1.1) |
| | | | | | Comments: |
| <input checked="" type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | DIAPHRAGM REINFORCEMENT AT OPENINGS: There is reinforcing around all diaphragm openings larger than 50% of the building width in either major plan dimension. (Commentary: Sec. A.4.1.8. Tier 2: Sec. 5.6.1.5) |
| | | | | | Comments: |
| <input type="radio"/> | <input type="radio"/> | <input checked="" type="radio"/> | <input type="radio"/> | <input type="radio"/> | STRAIGHT SHEATHING: All straight-sheathed diaphragms have aspect ratios less than 2-to-1 in the direction being considered. (Commentary: Sec. A.4.2.1. Tier 2: Sec. 5.6.2) |
| | | | | | Comments: Wood structural panel diaphragms |
| <input checked="" type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | SPANS: All wood diaphragms with spans greater than 24 ft (7.3 m) consist of wood structural panels or diagonal sheathing. (Commentary: Sec. A.4.2.2. Tier 2: Sec. 5.6.2) |
| | | | | | Comments: Wood structural panel diaphragms |

Note: **C** = Compliant **NC** = Noncompliant **N/A** = Not Applicable **U** = Unknown

| | | | | | | | |
|-------------------|-------------------------------------|-----------------|--|-----------|-------------------------------|----------|---|
| UC Campus: | UC Santa Cruz | | | Date: | June 30, 2019 | | |
| Building CAAN: | 7320 | Auxiliary CAAN: | | By Firm: | Maffei Structural Engineering | | |
| Building Name: | TA Offices | | | Initials: | NY | Checked: | |
| Building Address: | 445 Kerr Road, Santa Cruz, CA 95064 | | | Page: | 4 | of | 4 |

ASCE 41-17
Collapse Prevention Structural Checklist For Building Type W2

| | |
|--|--|
| C NC N/A U <input type="radio"/> <input type="radio"/> <input checked="" type="radio"/> <input type="radio"/> | <p>DIAGONALLY SHEATHED AND UNBLOCKED DIAPHRAGMS: All diagonally sheathed or unblocked wood structural panel diaphragms have horizontal spans less than 40 ft (12.2 m) and have aspect ratios less than or equal to 4-to-1. (Commentary: Sec. A.4.2.3. Tier 2: Sec. 5.6.2)</p> <p>Comments: No diagonally sheathed or unblocked diaphragms</p> |
| C NC N/A U <input checked="" type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> | <p>OTHER DIAPHRAGMS: The diaphragms do not consist of a system other than wood, metal deck, concrete, or horizontal bracing. (Commentary: Sec. A.4.7.1. Tier 2: Sec. 5.6.5)</p> <p>Comments: Wood diaphragms</p> |



SEISMIC EVALUATION OF EXISTING BUILDINGS - TIER 1 SCREENING

ASCE 41-17 Chapter 4

General

| | |
|---------------------|---------------------------------|
| Architect | Ralph Rapson and Associates Inc |
| Structural Engineer | Pregnoff & Matheu |
| Location | 445 Kerr Road |
| Design date | 1971 |
| Latitude | 36.9951722 |
| Longitude | -122.06144 |
| Stories above grade | 1 |

Reference

(Google Earth)
"

Seismic parameters

| | | | |
|---------------------|-------|---|--|
| Risk Category | II | 2016 CBC Table 1604.5 | |
| Site Class | D | https://earthquake.usgs.gov/hazards/urban/sfbay/soilt | (ASCE 41-17 2.4.1.6, ASCE 7-16 Chapter 20) |
| Liquefaction hazard | Low | http://data-sccgis.opendata.arcgis.com/datasets/77d380d355934b38a44894154377e28d_62 | (ASCE 41-17 3.3.4) |
| Landslide hazard | Low | http://data-sccgis.opendata.arcgis.com/datasets/7984aabd55ec4a4794ae33d7919bd9c7_133 | |
| S_{DS} | 1.087 | https://hazards.atcour | Based on ASCE 7-16 DE, used to determine "Level of Seismicity" (ASCE 41-17 Eq 2-4) |
| S_{D1} | N/A | https://hazards.atcouncil.org/ | Based on ASCE 7-16 DE, used to determine "Level of Seismicity" (ASCE 41-17 Eq 2-5) |
| S_{XS} | 1.286 | For BSE-2E hazard level | (ASCE 41-17 Table 2-2) |
| S_{X1} | 0.885 | For BSE-2E hazard level | (ASCE 41-17 Table 2-2) |

Scope

| | | |
|----------------------|--|------------------------|
| Performance level | Collapse Prevention | (ASCE 41-17 Table 2-2) |
| Seismic hazard level | BSE-2E | (ASCE 41-17 Table 2-2) |
| Level of seismicity | High | (ASCE 41-17 Table 2-4) |
| Building type | W2: Wood Frames, Commercial and Industrial | (ASCE 41-17 Table 3-1) |

Material properties

| | | | | Notes | |
|----------|--------|------|-----|----------------------------------|-------------------------|
| Concrete | f'_c | 4000 | psi | Specified on drawings, NWC | (ASCE 41-17 Table 10-4) |
| Reinf. | f_y | 60 | ksi | #6 and larger A432 | (ASCE 41-17 Table 10-4) |
| | f_y | 40 | ksi | All other bars A-15 Intermediate | (ASCE 41-17 Table 10-4) |
| Steel | F_y | N/A | ksi | N/A | (ASCE 41-17 Table 9-1) |



Project: _____
 Subject: _____
 By: _____
 Date: _____

Checklists

| | | |
|--------------------|--|------------------------|
| Benchmark building | No | (ASCE 41-17 Table 3-2) |
| Checklist(s) req'd | 17.1.2 Basic Configuration | (ASCE 41-17 Table 4-6) |
| | 17.12 Structural Checklist for Building Types W2 | (ASCE 41-17 Table 4-6) |
| | 17.19 Nonstructural Checklist (not performed) | (ASCE 41-17 Table 4-6) |

Seismic forces

| | | | | | |
|---------|-------|-----|---|---------|------------------------|
| V | 50 | kip | $V = C_s a W$ | = 1.67W | (ASCE 41-17 Eq 4-1) |
| W | 30 | kip | building weight | | (ASCE 41-17 4.4.2.1) |
| C | 1.3 | | Convert linear elastic to inelastic disp. | | (ASCE 41-17 Table 4-7) |
| S_a | 1.29 | g | $S_a = S_{x1} / T \leq S_{xs}$ | | (ASCE 41-17 Eq 4-3) |
| T | 0.11 | sec | $T = C_t h_n^\beta$ | | (ASCE 41-17 Eq 4-4) |
| C_t | 0.020 | | | | (ASCE 41-17 Eq 4-4) |
| β | 0.75 | | | | (ASCE 41-17 Eq 4-4) |
| h_n | 10 | ft | building height | | (ASCE 41-17 Eq 4-4) |

Story Forces

(ASCE 41-17 4-2a) (ASCE 41-17 4-2b)

| Story | w kip | story ht ft | h ft | wh^k | F_{story} | F_{story} kip | V_{story} kip |
|-------|----------|----------------|---------|--------|-------------|--------------------|--------------------|
| Roof | 29.8 | 10.0 | 10 | 298 | 1.00 | 50 | 50 |
| Total | 30 | | | 298 | 1.0 | 50 | |

k 1.00 $k = 1.0$ for $T < 0.5$, 2.0 for $T > 2.5$, linear interpolation between

$F_{story} = V(wh^k) / (\sum wh^k)$ (ASCE 41-17 4-2a)

$V_{story} = \sum_{above} F_{story}$ (ASCE 41-17 4-2b)