

**APPENDIX D**  
**UCSC**  
**ALCOHOL AND DRUG POLICY**

Illegal alcohol or drug use is not acceptable behavior at UCSC and is of concern to the university. The following policies address this concern.

**I. GENERAL UNIVERSITY DRUG POLICY STATEMENT**

The University of California expects students, as well as other members of the university community, including visitors and guests, to comply with applicable federal and state laws, and local ordinances governing drugs. Unlawful manufacture, distribution, dispensing, possession, use, or sale of, or the attempted manufacture, distribution, dispensing, or sale of controlled substances, as defined in federal and state law, local ordinances, or regulations is prohibited on university properties or at university-sponsored functions.

**II. GENERAL UNIVERSITY ALCOHOL POLICY STATEMENT**

The University of California expects students, as well as other members of the university community, including visitors and guests, to comply with applicable federal and state laws, and local ordinances governing alcoholic beverages. The manufacture, distribution, dispensing, possession, use, or sale of, or the attempted manufacture, distribution, dispensing, or sale of alcohol on university properties or at university-sponsored functions which is unlawful or otherwise prohibited by, or not in compliance with, university policy or campus regulations is prohibited.

Individuals are also cautioned that they will be subject to disciplinary procedures if their use of alcohol threatens disorder, creates a public disturbance, endangers themselves and/or others, or results in property damage. Individuals will be held accountable for the behavior of guests or visitors, who are not permitted to bring and/or consume alcohol on university property or at university events or functions. Kegs, party balls, pony kegs, and common-source containers are strictly prohibited under all circumstances.

To try to protect against problems of misuse, abuse, or injury, the university has adopted the following regulations:

1. Individual Consumption:

**Individuals under the age of 21 years** are not to possess or consume alcohol.

**Individuals of legal drinking age (21)** may determine for themselves whether or not to consume alcohol in the privacy of their assigned rooms or apartments, in accordance with their residential regulations.

The university prohibits serving and/or consuming alcohol at events or functions in residential facilities and/or in semi-private or public places, without appropriate approval by a university official using the "Permit to Serve Alcoholic Beverages on Campus" form (See III, 8. below).

**Semi-private places** may include: hallways, decks, lounges, TV rooms, stairwells, balconies, or residence hall bathrooms. **Public places** may include: lobbies, lounges,

stairwells, decks, dining halls, classrooms, meeting places, recreational facilities, plazas, patios, etc.

Each college is responsible for making available a description of acceptable places for their college. Alcoholic beverages are not permitted in any other areas of the college without prior authorization from the appropriate university administrator. Contact Conference Services for copies of the policy, alcohol permits and other appropriate forms for summer conferences and programs. These forms are also available on the Conference Services website at <http://www2.ucsc.edu/conference/>.

2. Events and Functions:

Consumption of alcoholic beverages at individual-sponsored and group-sponsored events or functions in private student rooms, student apartments, or semi-private places may occur only with prior authorization. In addition, the rules for group-sponsored events as stated in Section III, below ("Rules for Sponsored Events at which Alcohol is Served") and individual college guidelines must be followed.

3. Public Outdoor Areas:

Open containers of alcoholic beverages are prohibited in automobiles, parking lots, and at any and all campus outdoor areas, such as playing fields, grasslands, wooded areas, etc. in accordance with city and county ordinances, and state laws.

4. Sale of Alcohol:

The sale of alcohol without a license is strictly prohibited on university property. This prohibition includes the exchange of one form of alcohol for another or the bartering of other things such as chips or tickets for alcohol.

### **III. RULES FOR SPONSORED EVENTS AT WHICH ALCOHOL IS SERVED**

The following rules govern any planned, group-sponsored or university-sponsored event during which the serving of alcohol is permitted:

1. Attendance shall be limited to members of the sponsoring group, their invited guests and conference participants.
2. The serving of alcoholic beverages should not be included in any advertisement for the event.
3. The person or persons sponsoring the event are responsible for compliance with applicable laws, regulations and university policies. No person under 21 years of age shall be served an alcoholic beverage.
4. Consumption of alcoholic beverages shall not be the main focus or purpose of the event.
5. Nonalcoholic beverages must be available at the event and featured as prominently as the alcoholic beverages.
6. Food and snacks shall also be provided at the event as an alternative stimulus for social

interaction.

7. The use of illegal drugs at any such event will automatically invalidate authorization of the event and will permit an immediate halt of the event by the police or other intervening authority.
8. Sponsors, approving agencies, and participants should be made aware of UCSC policies on alcohol by the university official responsible for approving the use of alcohol. In addition, anyone who may be supervising an event where the use of alcohol will occur should be made aware of these policies and take responsibility for sharing the policies with the participants.

University officials who have been delegated authority to approve the serving of alcohol are:

- a. **academic deans**—including the dean of university Extension and dean of Graduate Studies)—for events sponsored by academic units such as departments, programs, academic units at the colleges, research units, and individual faculty members.
- b. **university librarian**—for events held in the university and Science Libraries.
- c. **college provosts**—for events held in the colleges that are not intended primarily for students and at which minimal student presence is expected.
- d. **campus provost**—for events sponsored by units or individuals in the reporting line to the campus provost, and not already delegated to a., b., or c., above.
- e. **assistant chancellor, University Advancement**—for events sponsored by units or individuals in the reporting line to the assistant chancellor University Advancement and for off-campus events sponsored by various university affiliate groups.
- f. **vice chancellor, Business and Administrative Services**—for events sponsored by units or individuals in the reporting line to the vice chancellor Business and Administrative Services.
- g. **vice chancellor, Student Affairs**—for events sponsored by units or individuals in the reporting line to the vice chancellor Student Affairs, and for all events that are sponsored by students or registered student organizations, or are primarily for students, including events in Family Student Housing, Graduate Housing, Conference Services, the Trailer Park and events in the colleges which are primarily intended for students.
- h. **chancellor**—for events sponsored by units or individuals reporting directly to the chancellor, as well as any event not covered by the above.

#### IV. LEGAL LIABILITY

If serving alcoholic beverages to a person who is not of legal drinking age results in injury or property damage, the individual and/or organization responsible for serving the alcohol may be liable in a lawsuit. No university employee (including residential staff) shall be required to assume responsibility for serving alcohol as part of his or her duties.

## **V. DISCIPLINARY ACTIONS**

Depending on the severity of the violation, the university has the authority to initiate disciplinary procedures, which could result in exclusion from areas of the campus, loss of housing or other privileges, suspension, or dismissal.

1. Minor infractions (including but not limited to bringing common source containers on university property) may be dealt with by college-imposed sanctions as well as by University Police with applicable disciplinary procedures. Disciplinary sanctions could include but may not be limited to loss of on-campus housing privileges.
2. Major infractions (including but not limited to the sale or transfer of illegal drugs or the causing of danger to oneself and others and/or property damage) may result in suspension or dismissal from the university, and referral to appropriate authorities for criminal investigation and prosecution.
3. Campus judicial proceedings do not preclude criminal prosecution.

## **VI. POLICY CLARIFICATION**

For policy clarification, contact any member of the residential staff, such as the residential life coordinator, area coordinators, community safety officers, or the college administrative officer. The campus provides a number of support services, including support groups, classes, etc. For further information, contact Student Health Outreach and Promotion (SHOP), 459-3772, or your college office.